

1 **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2 Application Serial No.09/871,176
3 Filing Date May 31, 2001
4 Inventorship Smith, et al
5 Applicant Goldman, Sachs & Co.
6 Group Art Unit3624
7 Examiner Stefanos Karmis
8 Attorney's Docket No. GS1-0012US
9 Title: Method and System for Processing Raw Financial Data Streams to Produce
10 and Distribute Structured and Validated Product Offering Data to Subscribing
11 Clients

12 **AMENDMENT AND RESPONSE TO RESTRICTION REQUIREMENT**

13 To: Commissioner of Patents and Trademarks,
14 PO Box 1450
15 Alexandria, VA 22313-1450

16 From: William J. Breen III (Tel. 509-324-9256; Fax 509-323-8979)
17 Customer No. 56678
18 421 W. Riverside Avenue, Suite 500
19 Spokane, WA 99201

20 This communication is in response to the Office Action dated October 10,
21 2006. The one-month shortened statutory period for response to the Office Action
22 is set for November 10, 2006.

23 **Amendments to the Specification** begin at page 2 of this paper.

24 **Amendments to the Claims** begin at page 4 of this paper.

25 **Remarks** begin at page 21 of this paper.